

COCABA SELF ASSESSMENT CHECKLIST 康家宝自评测试表



I. COCABA GENERAL INFORMATION 康家宝工厂信息			
Company Name	供应商全名:	Cocaba cookware manufacture co.ltd	
Primary Product/Services	主要产品及服务:	aluminum cookware for forged & pressed, pressure cook,bi-ss: OEM,ODM,Customization	
Address	详细地址:	No.1400Cidong Road,Cidong Industry,cixi,ningbo,ZheJiang,china	
City	所在城市:	Ningbo	
Telephone	公司电话:	86 574 5897 1230	Fax 公司传真: 86 574 5897 1230-159
Total facility size (sq. m.)	工厂面积 (平方米):	70,000.00	
Total Number of Employees	工厂总人数:	492	
Total Production Operator	产线工人总人数:	402	
Total Quality Staff	质量人员总人数:	25	
Total R&D Staff	设计人员总人数:	25	

NOTE:
Rating score rule 打分标准: 5- Required capability in place, good results 各项能力及技术全部具备, 且有比较高的水平
3- Fundamentals in place, average results 能力、管控及技术基本具备, 平均水平
1- Low Capability, very poor results 能力、管控及技术较低
0- No applicable, no capability, or no control at all 不适用, 或不具备该项能力, 或完全没有任何管控和意识

II. AUDIT RESULTS AND CONCLUSION结论			
CORE ASSESSMENT ELEMENT	核心评估项目	SELF RATING SCORE分数	Conclusion 结论类型:
1- Commercial Assessment	商业财务	4.9	Good, (4-5), good to start business 好, 可以开始项目
2- Engineering Assessment	工程研发	4.6	Normal, (3-4), enough to start business 够用, 可以开始项目
3- Manufacturing Assessment	现场制造	4.7	Conditional, (2-3), not good enough, while depends on actual demanding, can start business conditionally 让步通过
4- Quality assessment	质量管理	4.6	Reject, (<1), not good, stop connection 不够好, 停止接触
OVERALL SCORE	总分	4.7	
CONCLUSION结论		GOOD	

III. AUDIT CHECKLIST AND QUESTIONNAIRE审核及问题清单			
OPERATIONAL CORE ASSESSMENT ELEMENT 主要评价指标	COCABA'S SELF ASSESSMENT RATING 康家宝自评 (0 1 3 5)	CUSTOMER ASSESSED RATING 客户评估 (0, 1, 3, 5)	GAP AND HIGHLIGHTS 问题及标注
1- Commercial Assessment 商业评估			
1. Is there any financial risk? 公司是否存在任何财务、工商、税务、法律等风险?	5		yes
2. How is the capability to handle new business incremental? Such as based on technical capability ,product ,production etc. 公司技术水平、产品、产能等是否能支持新增业务	5		yes
3. How is company campus and workshop risk & security management? Is there any potential safety issue? 公司现场是否存在明显安全隐患?	5		no
4. How does company track and manage Customer Satisfaction? Is there effective correction or improving actions? 公司是否跟踪客户满意度, 并采取及时合理的改进进行	4.5		everyyear we will select customer satisfaction survey and make it improve

5. Please list the Sales Revenue of Pass 3 years, and indicate the % of International Sales 请提供过去三年的销售额，并告知国内外销售比例	100%		2025-50M \$;2024-46M \$;2023-43M \$ 100% international sales
6. What is the expected payment term? 公司期望的付款方式是什么？			L/C AT Sight
7. Pls list your major customers and location, as well as percentage of sale revenue allocated in each of them. 公司的主要客户和所在区域，以及销售占比			South America,30%; Eur,30%;Asia,20%;Mid-East,10%;North America 10%;
8. Do you see a production limitation or bottleneck? How you manage it ? 是否存在生产瓶颈，采取何种解决措施？			for the new technology we will have test-order,and make the pre-procution test before producing
9. Do you have a documented capacity plan which included OT capability, sub tier supplier capability and production limitations ? What is your capacity utilization ? 是否有成文的产能分析报告？产能利用率如何？			every month, workshop have to delivery the capacity data ,Their income is, to some extent, derived from the rewards for increased production capacity.
Sub-total	4.9	#DIV/0!	
2- Engineering Assessment 工程研发能力评估			
1. How do you think about companies' R&D Capabilities & Technologies 公司研发和技术能力如何？	4.5		we have seperated R&D team,for tooling, technology and designable team for 3D drawing of new shapes and handles which onbined with market
2. Is there design evaluation about customer request? Is the review and communication good enough?公司是否会对客户需求进行评估？有评估报告吗？有问题如何与客户沟通	4.6		we would discussing inhouse and make the test of the new items to aviod production difficults in workshop.
3. Is there internal design review in place? Is there any record for verification? 如何进行设计评审？有评审记录吗？	4.7		every new sku we have internal design meeting before loading into system,without final closed result we are limited to running into production end
4. How is factory Sample testing, validation and inspection? Is it good enough? Is there record or example as supporting? 如何进行样品测试？有测试计划和测试报告吗？	4.8		we have inhouse lab for many completed test including package and plate material;we would discussing with customer about test standards
5. Is there sufficient design change management? Check an example 工程变更流程是否可靠？举例说明如何处理的？	4.7		once the signed product loading into system,it's not easy to change only if you running the change managment step to change the ERP bom. change the details into system.
6. What design software is used? Such as Solidworks, Pro-E etc. 公司使用什么开发软件？如Solidworks, Pro-F等？	4.5		Solidworks;
7. How is the project management skills? 公司是否有很好的项目管理能力？	4.5		one sku number have one fty item number which is matched one by one
8. How is the tooling developing capability? 模具开发能力如何？	4.7		we have our own designer for the drawing and tooling team,inhouse tooling workshop
9. How is the fixture developing capability? 工装、制具开发能力如何？	4.8		we have our own designer for the drawing and tooling team,inhouse tooling workshop
10. How is the prototype developing capability? 工厂打样能力如何？	4.6		for new designable products,we can make by mock up sample at the begining for exhibition like that
Sub-total	4.6	#DIV/0!	
3- Manufacturing Assessment 现场制造能力评估			
1. How is the workshop Housekeeping, cleanliness, etc.? Is there reasonable layout of production area including work stations 现场是否干净、整洁？是否能合理划分各类区域？有没有清楚的标识	4.8		we are running with 6s Standard, Distinguish between the defective product area, the reworked product area and the finished product area;Different materials are placed separately;Set up a separate safety area for storing hazardous products
2. How is the Machine/Equipments maintenance and reparing looks like? 生产设备、测试设备等是否得很好的保养？实际状态如何？	4.6		Regularly carry out equipment maintenance and upgrades,reduce the overtime machine and equipments
3. Do all testing devices, equipments have on-time calibration and no expiring 各种检具、量具是否按时校验？	4.7		All inspection tools and measuring instruments are numbered and registered. critical inspection tools /test device externally calibrated every year with valid calibration certificates available
4. How is the Material incoming control, for example IQC and FIFO management 来料管理能力如何？是否有IQC及先进先出管理？	4.7		We maintain robust incoming material control capabilities. All raw materials and auxiliary materials are subject to incoming quality inspection (IQC), with all inspection results recorded and managed in the ERP system. Finished goods and warehouse inventory are managed in strict accordance with the FIFO principle, and all relevant data is also tracked via the ERP system
5. Is there process flow, quality control plan, and working instruction in place? Are these instructions followed by operator inside production? 现场是否有清晰的生产流程图、质量控制计划，是否有清楚、有效的操作指导书？现场工人是否严格遵守流程和指导书作业？	4.8		All production sites are equipped with work instructions (SOP) . products have corresponding production flow chart and quality control plan as well . System terminal monitors are built in each workshop for operators to access drawings, documents and other relevant information which stored in the MES system. employees follow the procedures and work instructions during operation strictly. QC inspectors and team leader conduct supervision at all times
6. Is there First Article Inspection (FAI) at each station or production line? How it looks like? 首件检验管理能力如何？	4.7		First article inspection (FAI) is implemented for every workstation and each production line. All first articles shall be kept in dedicated FAI storage boxes. each employee understand fully that mass production can only commence after passing FAI
7. How is the in-process testing and inspection management? Such as all kinds of testing, measuring, quality control, IPQC, OQC etc.? 生产过程中的测试、检验管理能力如何？如各种测试、IPQC巡检、OQC出货检验等	4.6		IPQC officers monitor workshop for inspection, and each workshop has special inspection desktop for all kinds of measurements and tests. Before delivery, OQC rechecks finished products according to AQL which stored in the warehouse. We also have a dedicated lab to test in-process products, and all data are recorded in the MES system

8. Is there scrap/ non-conforming parts management? Is it good enough to segregate defects with good and normal unit effectively? 是否有报废/不合格品的区域？是否有清晰的标识从而不会被误操作和误用？	4.7		Each production workshop have equipped with an independent quarantine area for non-conforming products with standardized labels. All non-conforming products stored in the quarantine area will be reworked, repaired or scrapped within the specified time limit to realize closed-loop control of defective products
9. How is the rework management and control? Is there clear instruction and good training for operator? How to segregate reworks with good unit? 如何管理维修、返修的？是否有清晰的操作指导？员工有接受完整培训？如何确保待修产品不混入产线？如何管理返修产品的出货？	4.7		Rework procedures are available. PIE issues rework WI in time . Sometimes staffs without full training before reworked operation The reworked products will undergo re-inspection.
10. How is the sub-tier supplier quality and raw material management? Is it good enough? How to drive correction if there is any incoming defect? 如何管理下级供应商？如果来料出现问题如何处理？	4.7		Supplier management procedure document is established and implemented. Suppliers are evaluated and scored based on quarterly incoming material quality, product pricing, service responsiveness and other criteria . All incoming materials need pass IQC inspection before being warehoused. All materials are managed via the MES system. In case of non-conforming incoming materials, IQC will record the information in MES system. The relevant information will be transmitted to the Purchasing Department, PMC Department and Quality Department synchronously for joint approval The non-conforming materials handled properly, such as return or replacement in accordance with documents
Sub-total	4.7	#DIV/0!	
4- Quality Assessment 质量管理评估			
1. What quality or compliance certificate supplier has? For example, audit that Costco or Sam's requested (BSCI, SMETA etc.), ISO9001, TS16949, ISO13485, ISO14001, OHSA18001 etc. 工厂通过了哪些认证？如，Costco或Sam's要求的审核（如BSCI, SMETA等）ISO9001, TS16949, ISO13485, ISO14001, OHSA18001等等	4.8		Passed multiple audits including BSCI, ISO 9001, IATF 16949, ISO 13485, ISO 14001, OHSAS 18001, and recyclable aluminum certification
2. Is there Quality Performance and Targets? Evaluate KPIs level at both internal and external sites. Show a monthly or yearly quality report. 是否有跟踪生产、检验及测试数据等，是否有设置内外部质量目标，并有针对性的进行持续改进。请提供一份质量月报或年报做参考	4.6		All daily data are recorded in MES system. We track relevant data and set quality objectives . carry out the targeted continuously improvement. The 2025 Annual Quality Report is available
3. Please share audit record and report made by companies' top 5 customers. How is the result and correction? 请展示公司前五大客户，尤其是国外客户，做过的审核报告及认证。结果如何？	4.5		Sedex for ASDA on website;Auchan for recycle UL certificate
4. Is there Continuous Improvement Program? Assess Error-proofing project/detection, CIP with 6 six sigma capability identified 公司是否有持续改善的意识，主动设计使用防呆制具、自动检测、6西格玛等工具方法提升质量水平？	4.6		Poka-Yoke: such as Bakelite Handles deformation-Proof tooling auto inspection :such as bottom dents online inspection Daily quality control utilizing QC techniques
5. Is there tracking or management system about CTQ and Process Quality Control? such as distinguish CTQ identified and Controlled. What is your response if there is any problem or variation happened? 针对关键质量控制参数CTQ，生产现场是否有进行跟踪管理？出现问题如何处理？	4.7		Key processes are marked and controlled via SOPs; all product control parameters can be queried in the MES system Mark, isolate, evaluate and dispose of non-conforming products in accordance with the Nonconforming Product Control Procedure when nonconformities occurred
6. How is the factory capability to drive quality Corrective and Preventive System? Look into any CAR, 8D or 5Why report or record, and verify company capability. 现场查看几份供应商做过的CAR，8D，或者5Why报告，是否有足够的分析、解决问题能力？	4.7		All supplier nonconformance records are retrievable in MES system. Suppliers submitted 8D reports (Including 5 why record)for relevant issues, though such incident occur infrequently
7. Is the documentation control effective? How to control the document, such as BOM, drawing, spec. and testing instructions etc. most updated? How to make sure the old version was segregated effectively? 公司文件管控是否有效？如何确保相关部门使用的文件，如料单、图纸、测试要求等是最新版？如何确保旧版被有效隔离？	4.6		According to document Control Procedure All latest drawings are updated in the MES system.
8. How is the staff competency? Such as the product management, operator, and QC members etc. 公司人员能力水平如何？如生产管理人员、操作工、质量管理人员等	4.6		The current production managers, operators and quality inspectors in the company have extensive experience. Moreover, the company has a clear personnel management system and training system.
Sub-total	4.6	#DIV/0!	
Total:	4.7	#DIV/0!	